

97-009N

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/463002

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371INTERNATIONAL APPLICATION NO
PCT/IB97/00893INTERNATIONAL FILING DATE
17 July 1997PRIORITY DATE CLAIMED
17 July 1997TITLE OF INVENTION Method and Apparatus For Multipoint-To-Point
Transmission in An ATM Network

APPLICANT(S) FOR DO/EO/US

P. Droz, I. Illiadis, G. Goren

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1 This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.

2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.

3 This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).

4 A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.

5 A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 a. is transmitted herewith (required only if not transmitted by the International Bureau).
 b. has been transmitted by the International Bureau.
 c. is not required, as the application was filed in the United States Receiving Office (RO/US).

6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).

7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 a. are transmitted herewith (required only if not transmitted by the International Bureau).
 b. have been transmitted by the International Bureau.
 c. have not been made; however, the time limit for making such amendments has NOT expired.
 d. have not been made and will not be made.

8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. A FIRST preliminary amendment.
 A SECOND or SUBSEQUENT preliminary amendment.

14. A substitute specification.

15. A change of power of attorney and/or address letter.

16. Other items or information:
 - Copy of annexes to the International Preliminary
 Examination Report

U.S. APPLICATION NO. (if known, see 37 CFR 1.51) 09/463002		INTERNATIONAL APPLICATION NO. PCT/IB97/00893	ATTORNEY'S DOCKET NUMBER S29-97-009N																								
<p>17. <input type="checkbox"/> The following fees are submitted:</p> <p>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :</p> <p>Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$970.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$840.00</p> <p>International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$760.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$670.00</p> <p>International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$96.00</p>																											
ENTER APPROPRIATE BASIC FEE AMOUNT =																											
\$ 840																											
<p>Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).</p>																											
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>- 20 =</td> <td></td> <td>X \$18.00</td> </tr> <tr> <td>Independent claims</td> <td>- 3 =</td> <td></td> <td>X \$78.00</td> </tr> <tr> <td colspan="2">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td></td> <td>+ \$260.00</td> </tr> <tr> <td colspan="4" style="text-align: center;">TOTAL OF ABOVE CALCULATIONS =</td> </tr> <tr> <td colspan="4" style="text-align: center;">\$1100</td> </tr> </tbody></table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	- 20 =		X \$18.00	Independent claims	- 3 =		X \$78.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$260.00	TOTAL OF ABOVE CALCULATIONS =				\$1100			
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TOTAL OF ABOVE CALCULATIONS =																											
\$1100																											
<p>Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).</p>																											
\$ ---																											
SUBTOTAL =																											
\$ 1100																											
<p>Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).</p>																											
\$ ---																											
TOTAL NATIONAL FEE =																											
\$ 1100																											
<p>Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property</p>																											
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<p>a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed</p>																											
<p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 09-0464 in the amount of \$ 1100 to cover the above fees. A duplicate copy of this sheet is enclosed.</p>																											
<p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 09-0464. A duplicate copy of this sheet is enclosed.</p>																											
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p>																											
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Joscelyn G. Cockburn IBM Corp.; 972/656 PO Box 12195 RTP, NC 27709</p>																											
 Joscelyn G. Cockburn SIGNATURE: NAME: 27,069 REGISTRATION NUMBER:																											

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of	:	14 January 2000
Droz et al.	:	
Serial No.: TBD	:	IBM Corporation - 972/B656
	:	P.O. Box 12195
Filed: Herewith	:	Research Triangle Park,
	:	North Carolina 27709
For: Method and Apparatus for	:	Group Art Unit:
Multipoint-to-Point	:	Examiner:
Transmission in an ATM		
Network		

**COVER LETTER TO THE UNITED STATES DESIGNATED/ELECTED
OFFICE CONCERNING A FILING UNDER 37 C.F.R. § 371**

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This application is submitted in conjunction with a request to expedite entry of the national phase of the PCT application PCT/IB97/00893 having an International Filing Date of 17 July 1997. Applicants are submitting, along with this application, informal copies of the Declaration, Power of Attorney and Assignment as the fully executed originals of these documents were not received from the inventors at the time of this filing. The fully executed originals of these documents will be submitted to the US Designated/Elected Office, along with the appropriate surcharge for submitting these documents later than 30 months from the earliest claimed priority date, when the documents are received from the inventors. Accordingly, Applicants respectfully request examination of this application and request the Examiner immediately begin national stage prosecution, thereby expediting the examination process for this application.

Respectfully submitted,

Droz et al.

Represented By:

Joscelyn G. Cockburn
Joscelyn G. Cockburn
Attorney of Record
Registration No. 27,069

JGC:sjt
Docket: SZ9-97-009N
Telephone: (919) 543-9036
Fax: (919) 543-3634

Serial No.: TBD

-2-

Docket No: SZ9-97-009N

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: P. Droz et al.

Serial No.: PCT/IB97/00893

Group Art Unit:

Int'l Filing Date: 17 July 1997

Examiner:

For: Method and Apparatus for Multipoint-to-Point transmission in an ATM Network

Commissioner of Patents and Trademarks
Washington, D.C. 20231

EXPRESS MAIL CERTIFICATE

"Express Mail" label number: EJ922404806US

Date of Deposit: January 14 2000 *

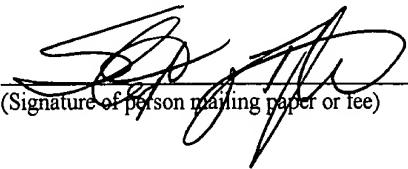
I hereby certify that the following attached paper or fee

1. Transmittal Letter re: Filing under 35 § U.S.C. 371 (in duplicate)
2. Copy of International Patent Application and Drawings as originally filed (29 pages)
3. Copy of annexes to the International Preliminary Examination Report (2 pages)
4. Informal copy of Combined Declaration for Patent Application and Power of Attorney (2 pages)
5. Informal copy of Assignment
6. Cover Letter to the United States Designated/Elected Office Concerning a Filing Under 37 C.F.R. § 371 (2 pages)
7. Business reply postcard

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

BOX PCT
Assistant Commissioner for Patents
Washington, D.C. 20231

Stephen J. Tytran
(Name of person mailing paper or fee)


(Signature of person mailing paper or fee)

*We request the Date of Deposit as the Filing Date.